

THE ASG OPEN(K) PLAN DESIGN FOR LAW FIRMS



What's Your Plan for Financial Independence?SM

Benchmark your 401(k) plan against the ASG Open(k) platform— purpose-built for law firms seeking to maximize partner benefits while reducing plan costs and administrative burden.

| | Your 401(k) Plan | ASG Open(k) Platform |
|--|------------------|----------------------|
| 1. Focuses on plan designs that optimize tax-deductible contribution opportunities for Partners/Shareholders. | | ✓ |
| 2. For an additional fee, offers Partners/Shareholders access to optional financial planning services and retirement income optimization strategies. | | ✓ |
| 3. Utilizes an outsourced fiduciary model to reduce internal oversight to under 90 minutes annually. | | ✓ |
| 4. Targets an “all-in” cost structure of less than ½ of 1% for core plan investment management fees, and fiduciary/administrative services. | | ✓ |
| 5. Incorporates a self-directed brokerage account (SDBA) that unlocks access to individual stocks, bonds, ETFs, sophisticated portfolio management strategies, and independent money managers. | | ✓ |
| 6. Provides all plan participants complimentary access to a “Do-It-Yourself” financial planning portal offering a robust suite of tools and calculators, educational resources, and planning guidance. | | ✓ |
| 7. Provides Staff and Associates access to foundational financial planning services, debt management strategies, and account-level investment advice. | | ✓ |
| 8. Uses a fully passive index core lineup to lower participant costs and align with regulatory safe harbor provisions for investment oversight. | | ✓ |
| 9. Includes both index-based Target Date Funds (TDFs) and risk-based asset allocation models to streamline the initial investment selection process for new participants. | | ✓ |
| 10. Extends to firm-level nonqualified consulting addressing recruitment, reward, and retention of talented associates, in addition to firm succession for exiting partners. | | ✓ |

To explore whether you fit the profile the ASG Open(k) Plan Design for Law Firms was built for, please contact: information@mystrategyteam.com | 888.944.6384 | www.mystrategyteam.com

Reimagining How Law Firms Approach Recruit-Reward-Retain & Retirement

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