



The ASG Open(k) Plan Design for Law Firms

This checklist is designed to help you benchmark your 401(k) plan against our Open(k) platform which we designed specifically for the needs and complexities of select law firm profiles looking to maximize Partner/Shareholder benefits, reduce core plan expenses and administrative burdens.

	Your 401(k) Plan	ASG Open(k) Platform
1. Focuses on plan designs that optimize tax-deductible contribution opportunities for Partners/Shareholders.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
2. For an additional fee, offers Partners/Shareholders access to optional financial planning services and retirement income optimization strategies.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
3. Utilizes a fiduciary services outsourcing model designed to reduce internal oversight time to less than ninety minutes per year.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
4. Targets an “all-in” cost structure of less than ½ of 1% for core plan investment management fees, and fiduciary/administrative services.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
5. Incorporates a self-directed brokerage account (SDBA) that unlocks access to individual stocks, bonds, ETFs, sophisticated portfolio management strategies, and independent money managers.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
6. Provides all plan participants complimentary access to a “Do-It-Yourself” financial planning portal offering a robust suite of tools and calculators, educational resources, and planning guidance.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
7. Provides Staff and Associates access to foundational financial planning services, debt management strategies, and account-level investment advice.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
8. Incorporates a core investment menu comprised exclusively of passive index options to drive down participant costs and take advantage of plan sponsor regulatory self-harbors related to investment menu oversight.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
9. Includes both index-based Target Date Funds (TDFs) and risk-based asset allocation models to streamline the initial investment selection process for new participants.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
10. Can expand base service model to provide access to firm-level nonqualified plan consulting that addresses issues related to recruiting, rewarding, and retaining talented associates and address firm succession for older partners.	<input type="checkbox"/>	<input checked="" type="checkbox"/>

To explore whether you fit the profile the ASG Open(k) Plan Design for Law Firms was built for, please contact:

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888.944.6384

Reimagining How Law Firms Approach Recruit-Reward-Retain & Retirement
www.mystrategyteam.com

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