



# The ASG 401(k) Plan for Law Firms

This checklist is designed to help you **benchmark your 401(k) plan against our 401(k) platform** which we designed specifically for the needs and complexities of select law firm profiles looking to maximize Partner/Shareholder benefits, reduce core plan expenses and administrative burdens.

---

	Your 401(k) Plan	ASG 401(k) Platform
1. Focuses on plan designs that optimize tax-deductible contribution opportunities for Partners/Shareholders.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
2. For an additional fee, offers Partners/Shareholders access to optional financial planning services and retirement income optimization strategies.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
3. Utilizes a fiduciary services outsourcing model designed to reduce internal oversight time to less than ninety minutes per year.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
4. Targets an "all-in" cost structure of less than ½ of 1% for core plan investment management fees, and fiduciary/administrative services.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
5. Incorporates a self-directed brokerage account (SDBA) that unlocks access to individual stocks, bonds, ETFs, sophisticated portfolio management strategies, and independent money managers.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
6. Provides all plan participants complimentary access to a "Do-It-Yourself" financial planning portal offering a robust suite of tools and calculators, educational resources, and planning guidance.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
7. Provides Staff and Associates access to foundational financial planning services, debt management strategies, and account-level investment advice.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
8. Incorporates a core investment menu comprised exclusively of passive index options to drive down participant costs and take advantage of plan sponsor regulatory self-harbors related to investment menu oversight.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
9. Includes both index-based Target Date Funds (TDFs) and risk-based asset allocation models to streamline the initial investment selection process for new participants.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
10. Can expand base service model to provide access to firm-level nonqualified plan consulting that addresses issues related to recruiting, rewarding, and retaining talented associates and address firm succession for older partners.	<input type="checkbox"/>	<input checked="" type="checkbox"/>

**To explore whether you fit the profile the ASG 401(k) Plan for Law Firms was built for, please contact:**

Elle Moser

[elle.moser@mystrategyteam.com](mailto:elle.moser@mystrategyteam.com)

888.944.6384

***Reimagining How Law Firms Approach Recruit-Reward-Retain & Retirement***

[www.mystrategyteam.com](http://www.mystrategyteam.com)

Securities offered through IFP Securities, LLC, dba Independent Financial Partners (IFP) member FINRA/SIPC. Investment advice offered through IFP Advisors, LLC, dba Independent Financial Partners (IFP), a Registered Investment Adviser. IFP and Advanced Strategies Group, LLC are not affiliated.